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PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number

09/470566

CLAIMS AS FILED - PART I

FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA
BASIC FEE (37 CFR 1.16(a))		
TOTAL CLAIMS (37 CFR 1.16(c))	minus 20 =	*
INDEPENDENT CLAIMS (37 CFR 1.16(b))	minus 3 =	*
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))		

SMALL ENTITY	
RATE	FEE
	\$
X \$	=
X \$	=
+ \$	=

OTHER THAN SMALL ENTITY	
RATE	FEE
	\$
X \$	=
X \$	=
+ \$	=

* If the difference in column 1 is less than zero, enter "0" in column 2.

TOTAL

TOTAL

CLAIMS AS AMENDED - PART II

6-30-05

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total (37 CFR 1.16(c))	22	Minus	37	=
Independent (37 CFR 1.16(b))	3	Minus	6	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

SMALL ENTITY	
RATE	ADDITIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL	
ADD'L FEE	

OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL	
ADD'L FEE	

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total (37 CFR 1.16(c))		Minus	**	=
Independent (37 CFR 1.16(b))		Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

SMALL ENTITY	
RATE	ADDITIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL	
ADD'L FEE	

OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL	
ADD'L FEE	

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total (37 CFR 1.16(c))		Minus	**	=
Independent (37 CFR 1.16(b))		Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

SMALL ENTITY	
RATE	ADDITIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL	
ADD'L FEE	

OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE
X \$	=
X \$	=
+ \$	=
TOTAL	
ADD'L FEE	

- * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JAN 17 2003

PTO/SB/05 (02-00)

Approved for use through 10/31/2002. OMB 0551-0022
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

09/470,566

CLAIMS AS FILED - PART I

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE (37 CFR 1.144)		
TOTAL CLAIMS (37 CFR 1.145)	20 minus 20 =	0
INDEPENDENT CLAIMS (37 CFR 1.146)	5 minus 3 =	2
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.146(d))		

RATE	FEE
	\$
x \$	
x	
+	
TOTAL	458

RATE	FEE
	\$
x \$	
x	
+	
TOTAL	

* If the difference in column 1 is less than zero, enter "0" in column 2.

CLAIMS AS AMENDED - PART II

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.144)	24 Minus	20	= 4
Independent (37 CFR 1.146)	5 Minus	5	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.146(d))			

RATE	ADDITIONAL FEE
x \$	
x	
+	
TOTAL	36

RATE	ADDITIONAL FEE
x \$	
x	
+	
TOTAL	

9-26-03

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.144)	24 Minus	24	= 0
Independent (37 CFR 1.146)	5 Minus	5	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.146(d))			

RATE	ADDITIONAL FEE
x \$	
x	
+	
TOTAL	

RATE	ADDITIONAL FEE
x \$	
x	
+	
TOTAL	

1-14-05

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.144)	37 Minus	24	= 13
Independent (37 CFR 1.146)	6 Minus	5	= 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.146(d))			

RATE	ADDITIONAL FEE
x \$	
x	
+	
TOTAL	425

RATE	ADDITIONAL FEE
x \$	
x	
+	
TOTAL	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case.
 Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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RECEIVED

JAN 21 2003

Technology Center 2600

PTO/SB/50 (09-04)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Request for Continued Examination (RCE) Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/470,566
Filing Date	12/22/1999
First Named Inventor	Kendy A. Roman
Art Unit	2613
Examiner Name	Behrooz M. Senfi
Attorney Docket Number	RHW 566

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1996, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

 I. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

 II. ☐ Other _____

b. ☒ Enclosed

 I. ☒ Amendment/Reply

 II. ☒ Affidavit(s)/Declaration(s) SW page 2

 III. ☐ Information Disclosure Statement (IDS)

 IV. ☐ Other _____

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fee, or credit any overpayments, to

a. ☒ Deposit Account No. 503179 I have enclosed a duplicate copy of this sheet.

 I. ☒ RCE fee required under 37 CFR 1.17(e)

 II. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

 III. ☐ Other _____

b. ☐ Check in the amount of \$ _____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2838.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Signature	Date
Name (Print/Type)	Registration No.
Kendy A. Roman	01/14/2005

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
Signature	Date
Name (Print/Type)	Registration No.
Kendy A. Roman	01/14/2005

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to be sent by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

7/18/2005 PZIRH 09000001 503179 09470566

01 FC:2801 395:00 DA

288887398882

14 2005 5:46PM mediaFrame Inc.

PTO/SB/22 (10-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) FY 2005 (fee effective on or after October 1, 2004)		Docket Number (Optional) RHN566	
Application Number 09/470,566		Filed 12/22/1999	
For General Purpose Compression for Video Images (RHN)			
Art Unit 2613		Examiner Behrooz M. Senfi	

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

	Fee	Small Entity Fee	
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$110	\$65	\$ _____
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$430	\$215	\$ _____
<input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$980	\$490	\$ 1490.00
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1530	\$765	\$ _____
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2080	\$1040	\$ _____

☒ Applicant claims small entity status. See 37 CFR 1.27.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number **503179**. I have enclosed a duplicate copy of this sheet.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the ☒ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).

☐ attorney or agent of record. Registration Number _____

☐ attorney or agent under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34 _____

Signature **Kendy A. Roman** Date **01/14/2005**

Typed or printed name Telephone Number **408-739-9517**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-0199 and select option 2.

07/26/2005 PZINHERM 00000002 503179 09470566

01 FC:2253 490.00 DA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CENTRAL REGISTER

Application of: Kendyl A. Roman, et. al. Art Unit: 2613

JAN 14 2005

Application No.: 09/470,566

Examiner: Behrooz Senfi

Filed: 1999 December 22

Title: GENERAL PURPOSE COMPRESSION FOR VIDEO IMAGES (RHN)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMMENDMENT/REPLY

Sir:

In response to the final Office Action mailed July 14, 2004, please consider the following amendments and arguments (submitted as a Request for Continued Examination).

1. A declaration regarding previous amendment begins on page 2 of this paper.
2. Amendments to the claims are reflected in the listing of claims, which begins on page 3 of this paper.
3. Remarks/Arguments begin on page 9 of this paper.

1/26/2005 PZIMMER 00000001 503179 09470566

01 FC:2201 100.00 DA
02 FC:2202 325.00 DA

Application # 09/470,566
Submitted January 14, 2005
Reply to Office Action of July 14, 2004

IV. New Claims

48. Claims 25-37 are presented with this amendment. Claim 25 covers the same scope as claim 1 and claims 26-37 are depended on claim 25. Therefore, these claims should not require an election or restriction.

49. Claims 25-37 are presented to more distinctly claim the subject matter. No new matter is added. These claims are not being added to overcome prior art.

50. Applicant submits that claims 25-37 are patentable as written.

V. Reconsideration Requested

51. The undersigned respectfully submits that, in view of the earlier response and the foregoing remarks, the rejections of the claims raised in the Office Action have been fully addressed and overcome, and the present application is believed to be in condition for allowance. It is respectfully requested that this application be reconsidered, that these claims be allowed, and that this case be passed to issue. If it is believed that a telephone conversation would expedite the prosecution of the present application, or clarify matters with regard to its allowance, the Examiner is invited to call the undersigned inventor at 408-739-9517.

Respectfully submitted,



Kendyl A. Roman

Phone: 408-739-9517

Date: January 14, 2004

JAN 14 2005

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

I certify the Payment's Distribution Act of 1995, an act to amend the law relating to the distribution of information, and to provide a credit (CMR) number number

<p>Effective on 12/06/2004. Fees pursuant to the Consolidated Appropriations Act, 2006 (H.R. 4819).</p> <h2 style="text-align: center;">FEE TRANSMITTAL</h2> <h3 style="text-align: center;">For FY 2005</h3>		<p>Complete if Known</p>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number <u>09/1470,566</u>	Filing Date <u>12/22/1999</u>
TOTAL AMOUNT OF PAYMENT <u>(\$1425 + 490 + fee)</u>		First Named Inventor <u>Kendyl A. Roman</u>	Examiner Name <u>Behrooz M. Janti</u>
		Art Unit <u>2613</u>	Attorney Doctort No.

METHOD OF PAYMENT (check all that apply)

☐ Check
 ☐ Credit Card
 ☐ Money Order
 ☐ None
 ☐ Other (please identify):

☒ Deposit Account Deposit Account Number 503179 Deposit Account Name: Kendyl A. Roman

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below
 ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17
 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2036.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims: 37 - 20 or HP = 17 x 25 = 425
 HP = highest number of total claims paid for, if greater than 20.
Extra Claims: 17 - 3 or HP = 14 x 100 = 1400
 HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fees Paid (\$)
<u>160</u>	<u>60</u>	<u>1</u>	<u>125</u>	<u>125</u>

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

SUBMITTED BY:		Registration No.	Telephone <u>804-739-9517</u>
Signature <u>[Signature]</u>	Date <u>01/14/2005</u>	(Attorney/Agent)	Date <u>01/14/2005</u>
Name (Print/Type) <u>Kendyl A. Roman</u>			

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to be issued by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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